

[Letterhead]

[Date]

### Certificate of Origin

Dear APHIS Inspector,

As the procurer/producer/responsible party regarding the specimens in this shipment, this letter is to certify that all specimens were taken from the country of [Insert country and province/state]. The specimens were collected and exported in accordance with all local laws and are being shipped to the Smithsonian Institution's National Museum of Natural History (Washington DC) with all the appropriate paperwork necessary for legal importation into the United States of America.

For further information, contact the Smithsonian Feather Identification Lab at 202-633-0787 or 202-633-0801.

Sincerely,

[Name]

[Title]

[Institution]

[letterhead]

[date]

## Certificate of Treatment

Dear USDA APHIS Inspector,

This letter is to certify that any bird specimens packaged in this container(s) have been treated according to APHIS-VS-NCIE requirements for the importation of research specimens into the United States. The specimens are being sent to the National Museum of Natural History, Smithsonian Institution, Washington, D.C. for identification. The packing list provides details on all specimens.

The specimens are being sent from [foreign institution name] in [Insert country and state/province name] with all required permits and paperwork.

The USDA approved treatment(s) used for these specimens was  
(circle one)

- *heated to 60 degrees C for at least 30 minutes*
- *treated with phenol/chloroform*
- *immersed in 10% formalin*
- *immersed in 70% alcohol*
- *immersed in a minimum of 3% sodium dodecyl sulfate (SDS)*
- *treated using FTA® filter paper*

prior to shipment. This treatment was conducted by [insert name] and is consistent with the USDA requirements for importation of bird specimens from countries infected with either Exotic Newcastle Disease or H5N1 (Avian Influenza). These specimens have not been commingled with any other potentially infected materials since the time of their treatment.

For further information contact Smithsonian Feather Identification Lab at 202-633-0787 or 202-633-0801.

Sincerely,

[name]

[title]

[Institution]

**UNITED STATES VETERINARY PERMIT FOR IMPORTATION  
AND TRANSPORTATION OF CONTROLLED MATERIALS AND  
ORGANISMS AND VECTORS**

PERMIT NUMBER  
53929  
Research

DATE ISSUED  
11/10/2007

DATE EXPIRES  
11/10/2008

NAME AND ADDRESS OF SHIPPER(S)

Various shippers within the  
UNITED STATES

CC:  
AVIC, VS, DC (Annapolis, MD)

NAME AND ADDRESS OF PERMITTEE INCLUDING ZIP CODE AND TELEPHONE NUMBER

Dr. Hans-Dieter Sues  
Smithsonian Institution  
National Museum of Natural History  
10th Street and Constitution Avenue  
Washington, District of Columbia 20560  
202-633-2664

U.S. PORT(S) OF ARRIVAL  
NOT APPLICABLE  
TRANSPORT PERMIT

MODE OF TRANSPORTATION ANY

AS REQUESTED IN YOUR APPLICATION, YOU ARE AUTHORIZED TO IMPORT OR TRANSPORT THE FOLLOWING MATERIALS

Carcasses, blood, skins, feathers, products, specimens, tissues, DNA, bone, and other samples  
(avian origin)

**RESTRICTIONS AND PRECAUTIONS FOR TRANSPORTING AND HANDLING MATERIALS AND ALL DERIVATIVES**

THIS PERMIT IS ISSUED UNDER AUTHORITY CONTAINED IN 9 CFR CHAPTER 1, PARTS 94, 95 AND 122. THE AUTHORIZED MATERIALS OR THEIR DERIVATIVES SHALL BE USED ONLY IN ACCORDANCE WITH THE RESTRICTIONS AND PRECAUTIONS SPECIFIED BELOW (ALTERATIONS OF RESTRICTIONS CAN BE MADE ONLY WHEN AUTHORIZED BY USDA, APHIS, VS).

o Adequate safety precautions shall be maintained during shipment and handling to prevent dissemination of disease.

o \*\*\* THIS PERMIT IS INVALID WITHOUT PERMITTEE'S SIGNATURE \*\*\*. "I, Hans-Dieter Sues, certify that this material will be used in accordance with all restrictions and precautions as are specified in this permit,


o \*\*\*  
\*\*\* signed:  . " \*\*\*

o \*\*\* Each shipment shall be accompanied by an ORIGINAL signed document from the producer/manufacturer confirming that: 1) the transported material was obtained only from birds, and 2) the transported material derived from birds originating from regions classified by the USDA as affected with highly pathogenic avian influenza (HPAI) subtype H5N1 was either: (a) heated to a minimum of 60°C for at least 30 minutes, (b) immersed in a minimum of 10% formalin, (c) immersed in a minimum of 70% alcohol, (d) treated with phenol/chloroform, (e) immersed in a minimum of 3% sodium dodecyl sulfate (SDS), or (f) treated using approved Indicating Classic FTA Filter Paper. [This certification must CLEARLY correspond to the shipment by means of an invoice number or shipping marks or lot number or other identification method. An English translation must be provided.]

continued on subsequent page(s).....

TO EXPEDITE CLEARANCES AT THE PORT OF ENTRY, BILL OF LADING, AIRBILL OR OTHER DOCUMENTS ACCOMPANYING THE SHIPMENT SHALL BEAR THE PERMIT NUMBER

SIGNATURE Magde Elshafie



TITLE  
Veterinary Medical Officer  
National Center - Import - Export

NO. LABELS

U.S. DEPARTMENT OF AGRICULTURE  
APHIS / VETERINARY SERVICES, RIVERDALE, MARYLAND 20737.  
ATTACH TO U.S. VETERINARY PERMIT - 53929

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RESTRICTIONS AND PRECAUTIONS: (continued from Permit Form VS 16-6)

- o \*\*\*The following countries are considered by the USDA to be affected with highly pathogenic avian influenza (HPAI) subtype H5N1: Afghanistan, Albania, Azerbaijan, Bangladesh, Burkina Faso, Cambodia, Cameroon, China, Czech Republic (District of nad Orliet), Denmark (Funen county ONLY), Djibouti, Egypt, England (Suffolk and Norfolk counties ONLY), France (VS defined restricted zone ONLY), Ghana, Germany (Kreis of Muldental, Kreis of Torque-Oschatz, and Kreis of Döbeln ONLY), Hungary (Bacs-Kiskun and Csongrad counties ONLY), India, Indonesia, Israel, Ivory Coast (Côte d'Ivoire), Japan, Jordan, Kuwait, Laos, Kazakhstan, Malaysia, Myanmar, Niger, Nigeria, Pakistan, Palestinian Autonomous Territories, Peoples' Republic of China, Romania, Russia, South Korea, Saudi Arabia, Sudan, Sweden (Kalmar county ONLY), Thailand, Togo, Turkey, Ukraine, and Vietnam.
- o All studies with untreated avian origin material derived from regions classified by the USDA as affected with exotic Newcastle disease must be conducted in your Biosafety Level 2 laboratory facilities located in Smithsonian Institute's Museum Support Center, Room E1107, which has been inspected and approved by the USDA. This facility shall be reinspected every 3 years.
- o The following countries are considered by USDA to be free of exotic Newcastle disease (END): Australia, Canada, Chile, Costa Rica, Fiji, Finland, France, Great Britain (England, Scotland, Wales, and the Isle of Man), Greece, Iceland, Ireland, Luxembourg, New Zealand, Spain, Switzerland, and the Mexican States of Campeche, Quintana Roo, and Yucatan.
- o Permittee shall notify USDA, APHIS, Veterinary Services within 48 hours of failure of receipt of a shipment of materials. Permittee to contact [1-866-994-LOST(5678)].
- o Importing facility is subject to routine inspection by USDA, APHIS personnel during normal business hours to ensure compliance with permit restrictions.
- o This permit DOES NOT authorize direct or indirect exposure of or inoculation into laboratory and domestic animals, including poultry, cattle, sheep, swine, horses, etc. Work shall be limited to IN VITRO uses only.
- o Packaging, containers, and all equipment in contact with these materials shall be sterilized or considered a biohazard and be disposed of accordingly.

U.S.DEPARTMENT OF AGRICULTURE  
APHIS / VETERINARY SERVICES, RIVERDALE, MARYLAND 20737.  
ATTACH TO U.S. VETERINARY PERMIT - 53929

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RESTRICTIONS AND PRECAUTIONS: (continued from Permit Form VS 16-6)

- o This permit is valid only for work conducted or directed by you or your designee in your present U.S. facilities. (MATERIALS SHALL NOT BE MOVED TO ANOTHER U.S. LOCATION, OR DISTRIBUTED WITHIN THE U.S., WITHOUT USDA, APHIS, VS, NCIE AUTHORIZATION.)++EXCEPTION++Material may be further distributed within the U.S. provided the material is accompanied by an original, signed document confirming that the material was either: (a) derived from birds originating from regions classified as free from highly pathogenic avian influenza (HPAI) subtype H5N1 and exotic Newcastle disease, OR (b) heated to a minimum of 60°C for at least 30 minutes, or immersed in a minimum of 10 percent formalin, or immersed in a minimum of 70 percent alcohol, or treated with phenol/chloroform, or immersed in a minimum of 3 percent sodium dodecyl sulfate, or treated using approved Indicating Classic FTA Filter Paper. Studies with untreated material of avian origin originating from regions classified as affected with exotic Newcastle disease must be conducted in Biosafety Level 2 facilities inspected and approved by the USDA.
  - o This permit does not exempt the permittee from responsibility for compliance with any other applicable federal, state, or local laws and regulations.
  - o A copy of this permit must be included with the shipping documents. For imported materials, these documents must be presented to CBP Agricultural Specialists upon arrival at the U.S. port of entry.
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